


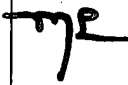
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10603998
Filing Date	2003-06-25
First Named Inventor	Bennett
Art Unit	2626
Examiner Name	Martin Lerner
Attorney Docket Number	PHO 99002CIP

U.S. PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	7062444		2006-06-13	He et al.	
	2	6173266		2001-01-09	Marx et al.	
	3	5696962		1997-12-09	Kupiec	
	4	4521907		1985-06-04	Amir et al.	
	5	5953701		1999-09-14	Neti et al.	
	6	5036539		1991-07-30	Wrench et al.	
	7	5826227		1998-10-20	Jayant	
	8	6138091		2000-10-24	Haataja et al.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	10603998
Filing Date	2003-06-25
First Named Inventor	Bennett
Art Unit	2626
Examiner Name	Martin Lerner
Attorney Docket Number	PHO 99002CIP

	9	6661845		2003-12-09	Herath	
--	---	---------	--	------------	--------	--

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1							<input type="checkbox"/>

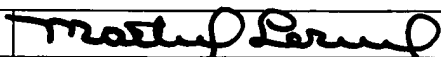
If you wish to add additional Foreign Patent Document citation information please click the Add button.

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button.

EXAMINER SIGNATURE

Examiner Signature		Date Considered	12/17/2007
--------------------	---	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number 10603998

Filing Date 2003-06-25

First Named Inventor Bennett

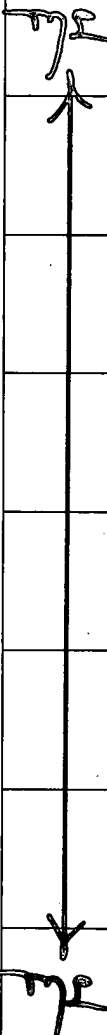
Art Unit 2626

Examiner Name Martin Lerner

Attorney Docket Number PHO 99002CIP

U.S. PATENTS

Remove

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	4868750		1989-09-19	Kucera et al.	
	2	5519608		1996-05-21	Kupiec	
	3	5878423		1999-03-02	Anderson et al.	
	4	6076088		2000-02-06	Paik et al.	
	5	6101472		2000-08-08	Giangarra et al.	
	6	6173260		2001-01-09	Slaney	
	7	6330530		2001-12-11	Horiguchi et al.	
	8	6341306		2002-01-22	Rosenschein et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10603998
Filing Date		2003-06-25
First Named Inventor	Bennett	
Art Unit	2626	
Examiner Name	Martin Lerner	
Attorney Docket Number	PHO 99002CIP	

9	6496799		2002-12-17	Pickering	
10	6519631		2003-02-11	Rosenschein et al.	
11	6539359		2003-03-25	Ladd et al.	
12	6618726		2003-09-09	Colbath et al.	
13	6873953		2005-03-29	Lennig	
14	6879956		2005-04-12	Honda et al.	
15	6901366		2005-05-31	Kuhn et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S.PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
1		20020032500		2002-03-14	Tzirkel-Hancock et al.	
2		20020147581		2002-10-10	Shriberg et al.	

* = previously cited

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		10603998
Filing Date		2003-06-25
First Named Inventor	Bennett	
Art Unit	2626	
Examiner Name	Martin Lerner	
Attorney Docket Number	PHO 99002CIP	

u = previously cited

<i>me</i>	3	20030191025	2003-10-09	Gorin et al.	
	4	20040148172	2004-07-29	Cohen et al.	
	5	20050060158	2005-03-17	Endo et al.	
	6	20050091056	2005-04-28	Curace et al.	
<i>me</i>	7	20050131704	2005-06-16	Dragosh et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
<i>me</i>	1	BECKMAN, M. E. et al., (1997): Guidelines for ToBI labelling, version 3. The Ohio State University Research Foundation, http://www.ling.ohio-state.edu/research/phonetics/E_ToBI/ , 5 pages.	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10603998
Filing Date	2003-06-25
First Named Inventor	Bennett
Art Unit	2626
Examiner Name	Martin Lerner
Attorney Docket Number	PHO 99002CIP

2	BENGIO, Y., "A Connectionist Approach to Speech Recognition," in Advances in Pattern Recognition Using Neural Network Technologies, edited by I Guyon, 1993, 14 pages.	<input type="checkbox"/>
3	BENNETT, I. And J. Linvill, "A Study of Time Domain Speech Compression by Means of a new Analog Speech Processor", Journal of the Audio Engineering Society, vol. 23, No. 9, 1975.	<input type="checkbox"/>
4	BOERSMA, P. et al., "PRAAT, Doing Phonetics by Computer," Institute of Phonetic Sciences, University of Amsterdam, Netherlands, 2004, http://www.praat.org , 3 pages.	<input type="checkbox"/>
5	BREIMAN, L. et al., Excerpts from "Classification and Regression Trees," Wadsworth, Inc., 1984, pp. 1-173; 216-353.	<input type="checkbox"/>
6	COWIE, R. Et al., "FEELTRACE: An instrument for recording perceived emotion in real time," believed to be published in Proc. ISCA ITRW on Speech and Emotion: Developing a Conceptual Framework, R. Cowie, Douglas-Cowie, and E. Schroder, Eds., Belfast, 2000.	<input type="checkbox"/>
7	DRUCKER, H. et al., "Boosting Performance in Neural Networks," in Advances in Pattern Recognition Using Neural Network Technologies, edited by I Guyon, 1993, 11 pages.	<input type="checkbox"/>
8	GILDEA, D. et al., "Automatic Labeling of Semantic Roles," Computational Linguistics 28(3) (2002), 43 pages.	<input type="checkbox"/>
9	GRAESSER, A. C. et al., "AutoTutor: A simulation of a human tutor," Journal of Cognitive Systems Research 1 (1999) 35-51.	<input type="checkbox"/>
10	GROSZ, B. et al., "Some intonational characteristics of discourse structure," believed to be published in International Conference on Spoken Language Processing, 1992, 10 pages.	<input type="checkbox"/>
11	KIM, E-Y., et al., "Comparison of Neural Network and K-NN Classification Methods in Vowel and Patellar Subluxation Image Recognitions," in Advances in Pattern Recognition Using Neural Network Technologies, edited by I Guyon, 1993, 8 pages.	<input type="checkbox"/>
12	KIM, H. et al., "A Bitstream-Based Front-End for Wireless Speech Recognition on IS-136 Communications System," IEEE Transactions on Speech and Audio Processing, Vol. 9, No. 5, pp. 558-568, July 2001.	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

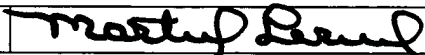
Application Number	10603998
Filing Date	2003-06-25
First Named Inventor	Bennett
Art Unit	2626
Examiner Name	Martin Lerner
Attorney Docket Number	PHO 99002CIP

13	LITMAN, D. et al., "Designing and Evaluating an Adaptive Spoken Dialogue System," User Modeling and User-Adapted Interaction 12: 111-137, 2002.	<input type="checkbox"/>
14	MCROY, S. "The Repair of Speech Act Misunderstandings by Abductive Inference," Computational Linguistics 21, 4 (December 1995), 44 pages.	<input type="checkbox"/>
15	MEUNIER, J., "RTP Payload Format for Distributed Speech Recognition," 48th IETF AVT WG - 8/3/00, 10 pages, 2000.	<input type="checkbox"/>
16	PLUTCHIK, R. Editor et al., Excerpts from "Circumplex Models of Personality and Emotions," Washington, APA, 1997, pp. 1-151.	<input type="checkbox"/>
17	PLUTCHIK, R. Editor et al., Excerpts from "Circumplex Models of Personality and Emotions," Washington, APA, 1997, pp. 155-295.	<input type="checkbox"/>
18	PLUTCHIK, R. Editor et al., Excerpts from "The Psychology and Biology of Emotion," HarperCollins College Publishers, 1994, pp. 1-171; 362-387.	<input type="checkbox"/>
19	Sand Cherry Networks, SoftServer product literature, 2 pages, 2001.	<input type="checkbox"/>
20	SHRIBERG, E. et al., "Can Prosody Aid the Automatic Classification of Dialog Acts in Conversational Speech?" believed to be published in Language and Speech 41(34). Special Issue on Prosody and Conversation, 1998, 55 pages.	<input type="checkbox"/>
21	TAYLOR, P. et al., "Chapter 10, Classification and Regression Trees," Edinburgh Speech Tools Library, System Documentation, Edition 1.2, http://festvox.org/docs/speech_tools-1.2.0/c16616.htm , Centre for Speech Technology, Univ. of Edinburgh, (2003), 9 pages.	<input type="checkbox"/>
22	WHISSELL, C. "The Dictionary of Affect in Language," in EMOTION: Theory, Research, and Experience, edited by R. Plutchik et al., Academic Press Inc., 1989, 11 pages.	<input type="checkbox"/>
23	ZAVALIAGKOS, G. et al., "A Hybrid Continuous Speech Recognition System Using Segmental Neural Nets with Hidden Markov Models," in Advances in Pattern Recognition Using Neural Network Technologies, edited by I Gyon, 1993, 11 pages.	<input type="checkbox"/>

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10603998
	Filing Date		2003-06-25
	First Named Inventor	Bennett	
	Art Unit	2626	
	Examiner Name	Martin Lerner	
	Attorney Docket Number	PHO 99002CIP	

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature		Date Considered	12/17/07
--------------------	---	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.